

OCT. 31. 2001 8:34AM. BSKB COM

NO. 8726 P. 8

PATENT  
0147-0215P

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: NEUHAUS, Ekkehard et al. Conf.: unassigned  
Appl. No.: 09/674,768 Group: unassigned  
Filed: November 6, 2000 Examiner: unassigned  
For: TRANSGENIC PLANTS WITH A MODIFIED  
ACTIVITY OF A PLASTIDIAL ADP/ATP  
TRANSLOCATOR

STATEMENT BY INVENTOR

Assistant Commissioner for Patents  
Washington, DC 20231

October 22, 2001

Sir:

This communication is an attachment to a Petition to Correct Inventorship under 37 C.F.R. § 1.48(a), filed in connection with the above-identified application and previous PCT/EP99/03292.

I, Norbert Martini of Herbigstrasse 3g, D-50825 Koln, GERMANY, was incorrectly named as a coinventor of the above-identified application.

The inventorship error occurred without deceptive intention on my part.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information

NO. 8726 P. 9

OCT. 31. 2001 8:34AM BSKB COM

Appl. No. 09/674,768

and belief are believed to be true; and further that these statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

05-11-2001

Date

  
Signature

Norbert Martini  
Type name of person signing

(Rev. 09/27/01)

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VOSSIUS &amp; PARTNER

NO. 8726 P. 6

PATENT  
0147-0215P

## IN THE U.S. PATENT AND TRADEMARK OFFICE

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 TRANSLOCATOR

STATEMENT BY INVENTOR

Assistant Commissioner for Patents  
 Washington, DC 20231

October 31, 2001

Sir:

This communication is an attachment to a petition to  
 Correct Inventorship under 37 C.F.R. § 1.48(a), filed in  
 connection with the above-identified application and previous  
 PCT/EP99/03292.

I, Jozef Schell of Carl-von-Weg 12, D-50829 Köln, GERMANY,  
 was incorrectly named as a coinventor of the above-identified  
 application.

The inventorship error occurred without deceptive intention  
 on my part.

I hereby declare that all statements made herein of my own  
 knowledge are true and that all statements made on information

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ROSSUS & FAKINER

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Appl. No. 09/674,768

and belief are believed to be true; and further that these statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Nov 8, 2001

Date

✓  
Signature

Jozef Schyall  
Type name of person signing

Rev. 09/27/01

2

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J-2001 09:07

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PLANTTEC BIOTECHNOLOGIE

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J-2001



I hereby appoint the following attorneys to prosecute this application and/or an international application based on this application and to transact all business in the Patent and Trademark Office connected therewith and in connection with the resulting patent based on instructions received from the entity who first sent the application papers to the attorneys identified below, unless the inventor(s) or assignee provides said attorneys with a written notice to the contrary:

Raymond C. Stewart	(Reg. No. 21,066)	Terrell C. Birch	(Reg. No. 19,382)
Joseph A. Kolasch	(Reg. No. 22,463)	James M. Slattery	(Reg. No. 28,380)
Bernard L. Sweeney	(Reg. No. 24,448)	Michael K. Mutter	(Reg. No. 29,680)
Charles Gorenstein	(Reg. No. 29,271)	Gerald M. Murphy, Jr.	(Reg. No. 28,977)
Leonard R. Svensson	(Reg. No. 30,330)	Terry L. Clark	(Reg. No. 32,644)
Andrew D. Meikle	(Reg. No. 32,868)	Marc S. Weiner	(Reg. No. 32,181)
Joe McKinney Muncy	(Reg. No. 32,334)	Donald J. Daley	(Reg. No. 34,313)
John W. Bailey	(Reg. No. 32,881)	John A. Castellano	(Reg. No. 35,094)
Gary D. Yacura	(Reg. No. 35,416)		

## Send Correspondence to:

BIRCH, STEWART, KOLASCH &amp; BIRCH, LLP

or

Customer No. 2292

P.O. Box 747 • Falls Church, Virginia 22040-0747

Telephone: (703) 205-8000 • Facsimile: (703) 205-8050

**PLEASE NOTE:**  
**YOU MUST**  
**COMPLETE**  
**THE**  
**FOLLOWING:**  
 ↓

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of First  
or Sole Inventor:  
Insert Name of  
Inventor  
↓  
Insert Date This  
Document is Signed

GIVEN NAME/FAMILY NAME	INVENTOR'S SIGNATURE	DATE*
Ekkehard, NEUHAUS		22.12.2000

Insert Residence  
↓  
Insert Citizenship

Residence (City, State & Country)	CITIZENSHIP
Osnabrück, Germany	German

Insert Post Office  
Address  
↓

MAILING ADDRESS (Complete Street Address including City, State & Country)
Sachsenweg 29 49086 Osnabrück, Germany

Full Name of Second  
Inventor, if any:  
see above

GIVEN NAME/FAMILY NAME	INVENTOR'S SIGNATURE	DATE*
Torsten, MOEHLMANN		22.12.2000

Residence (City, State & Country)	CITIZENSHIP
Bünde, Germany	German

MAILING ADDRESS (Complete Street Address including City, State & Country)
Gellerstr. 17 32257 Bünde, Germany

Full Name of Third  
Inventor, if any:  
see above

GIVEN NAME/FAMILY NAME	INVENTOR'S SIGNATURE	DATE*
Karl-Heinz, GRAEVE-KAMPFENKEL		31.12.2000

Residence (City, State & Country)	CITIZENSHIP
Mainz-Kostheim, Germany	German

MAILING ADDRESS (Complete Street Address including City, State & Country)
Im Sampel 10A 55246 Mainz-Kostheim, Germany

Full Name of Fourth  
Inventor, if any:  
see above

GIVEN NAME/FAMILY NAME	INVENTOR'S SIGNATURE	DATE*
Joachim, TJADEN		22.12.2000

Residence (City, State & Country)	CITIZENSHIP
Osnabrück, Germany	German

MAILING ADDRESS (Complete Street Address including City, State & Country)
Johannisstr. 97 49074 Osnabrück, Germany

OCT. 31. 2001 8:34AM BSKB COM

NO. 8726 P. 10

PATENT  
0147-0215F

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: NEUHAUS, Ekkehard et al. Conf.: unassigned  
Appl. No.: 09/674,768 Group: unassigned  
Filed: November 6, 2000 Examiner: unassigned  
For: TRANSGENIC PLANTS WITH A MODIFIED  
ACTIVITY OF A PLASTIDIAL ADP/ATP  
TRANSLOCATOR

ASSENT OF ASSIGNEE TO CORRECTION  
AND/OR ADDITION OF INVENTOR(S)

October 22, 2001

Assistant Commissioner for Patents  
Washington, DC 20231

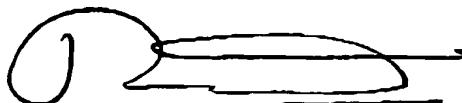
Sir:

Your petitioner, PlantTec Biotechnologie GmbH Forschung & Entwicklung, residing at Hermannswerder 14, D-14473 Potsdam, Germany, represent that they are the present Assignee of the entire interest in U.S. Patent application Serial No. 09/674,768 (Transgenic Plants with a modified activity of a Plastidial ADP/ATP Translocator), which entered the U.S. National Stage on November 6, 2000.

Your petitioner, PlantTec Biotechnologie GmbH Forschung & Entwicklung, hereby consents to a change of inventarship of application serial no. 09/674,768 from the joint invention of NEUHAUS, Ekkehard; MOEHLMANN, Torsten; GRAEVE-KAMPFENKEL, Karl-Heinz; TJADEN, Joachim, to the joint invention of NEUHAUS, Ekkehard; MOEHLMANN, Torsten; GRAEVE-KAMPFENKEL, Karl-Heinz; TJADEN, Joachim. Respectfully submitted, PlantTec Biotechnologie GmbH Forschung & Entwicklung Hermannswerder 14, D-14473 Potsdam, Germany.

Appl. No. 09/674,768

02.11.2001  
Date



Signature

Dr. Jörg Riesmeier Managing Director  
Type name and title of person  
authorized to sign on behalf  
of Assignee.

(Rev. 09/27/01)

**PlantTec Biotechnologie GmbH**  
Forschung & Entwicklung  
Hermannswerder 14  
14473 Potsdam

08 NOV 01 15:04 Pflanzenphysiologie  
02/11 '01 FR 15:32 FAX +49 89 4130 4400 VOSSIUS & PARTNER  
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NO. 8726 P. 4

ATTORNEY DOCKET NO. 0147-021SP

BIRCH, STEWART, KOLASCH & BIRCH, LLP  
UNITED STATES PATENT RIGHTS, OR  
UNITED STATES PLUS ALL FOREIGN PATENT RIGHTS

ASSIGNMENT

Filed November 6, 2000

Application No. 09/674,768

Insert Name(s)  
of Inventor(s)

WHEREAS, NEUBAUS, Elkehard  
GRAEVE-KAMPFENKEL, Karin-Sigrid TJAIDEN, Joachim  
MOEHLMANN, Thomas

Insert Title  
of Invention

TRANSGENIC PLANTS WITH A MODIFIED ACTIVITY OF A PLASTIDIAL ADPATATE TRANSLOCATOR  
for which an application for Letters Patent of the United States of America has been executed by the  
undersigned (except in the case of a provisional application).

Insert Date  
of Signing of  
Application

on \_\_\_\_\_; and

Insert Name  
of Assignee

WHEREAS, PlantTec Biotechnologies GmbH Forschung & Entwicklung

Insert Address  
of Assignee

of Hermannsweg 14, D-14473 Potsdam, Germany

CHECK BOX  
IF APPROPRIATE

is heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is desirous of  
acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent(s) that  
may be granted therefor in the United States of America and

in any foreign countries.

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00) to the undersigned in hand paid,  
the receipt of which is hereby acknowledged, and other good and valuable consideration, the undersigned  
has (have) sold, assigned and transferred, and by these presents does sell, assign and transfer unto said  
Assignee the full and exclusive right to the said invention in the United States of America, its territories,  
dependencies and possessions and the entire right, title and interest in and to any and all Letters Patent(s)  
which may be granted therefor in the United States of America, its territories, dependencies and  
possessions, and if the box above is designated, in any and all foreign countries;  
and to any and all divisions, relatives, corporations, conversions and extensions thereof for the full term or  
terms for which the same may be granted.

Page 1 of 2

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Biotechnologie GmbH  
Forschung & Entwicklung

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VOSSIUS & PARTNER

NO. 8726 P. 5

Attorney Docket No. 0147-0215P

The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing, divisional, conversion or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation, division, conversion or reissue thereof or Letter Patent(s) or related patent issued thereon and to cooperate with the Assignee in every way possible in obtaining and producing evidence and proceeding with such interference.

The undersigned agree(s) to execute all papers and documents and to perform any act which may be necessary in connection with claims or provisions of the International Convention for the Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States of America patent(s) or a grant of a valid United States of America and any foreign patent(s) to the Assignee and to vest all rights therein hereby conveyed to said Assignee as fully and entirely as the same would have been held by the undersigned if this Assignment and sale had not been made.

The undersigned hereby authorize(s) and request(s) the Patent and Trademark Office Officials in the United States of America and in any foreign countries to issue any and all Letters Patents resulting from said application or any continuing, divisional, conversion or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) the full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement to conflict therewith.

The undersigned hereby grant(s) the law firm of Birch, Stewart, Kolasch & Birch, LLP the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for recordation of this document.

The undersigned hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date 8.11.01

Name of Inventor R. E. Neuhaus  
(signature) NEUHAUS, Eckhard

Date 8.11.01

Name of Inventor T. Moehlmann  
(signature) MOEHLMANN, Torsten

Date 8.11.01

Name of Inventor A. Graeve  
(signature) GRAEVE-KAMPFENKEL, Axel-Heinz

Date \_\_\_\_\_

Name of Inventor J. Daden  
(signature) DADEN, Joachim

Date \_\_\_\_\_

Name of Inventor \_\_\_\_\_  
(signature)

Date \_\_\_\_\_

Name of Inventor \_\_\_\_\_  
(signature)

Page 2 of 2

April 2000

BIRCH, STEWART, KOLASCH & BIRCH, LLP  
UNITED STATES PATENT RIGHTS, OR  
UNITED STATES PLUS ALL FOREIGN PATENT RIGHTS  
ASSIGNMENT

Filed November 6, 2000

Application No. 09/674,768

Insert Name(s)  
of Inventor(s)

WHEREAS, NEURAUS, Eberhard  
GRAEVE-KAMPFENKPL, Karl-Hans TJAEDEN, Joachim  
MOERLMANN, Thomas

Insert Title  
of Invention

TRANSGENIC PLANTS WITH A MODIFIED ACTIVITY OF A PLASTIDIAL ADP/ATP TRANSLOCATOR  
for which no application for Letters Patent of the United States of America has been executed by the  
undersigned (except in the case of a provisional application).

Insert Date  
of Signing of  
Application

on \_\_\_\_\_; and

Insert Name  
of Assignee

WHEREAS, PlantTec Biotechnology GmbH Forschung & Entwicklung

Insert Address  
of Assignee

of Hermannsweg 14 D-14473 Potsdam, Germany

is heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is desirous of  
acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent(s) that  
may be granted therefor in the United States of America and  
 in any foreign countries.

CHECK BOX  
IF APPROPRIATE

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00) to the undersigned in hand paid,  
the receipt of which is hereby acknowledged, and other good and valuable consideration, the undersigned  
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Assignee the full and exclusive right to the said invention in the United States of America, its territories,  
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In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date \_\_\_\_\_

Name of Inventor \_\_\_\_\_  
(signature) NEUHAUS, Eckhard

Date \_\_\_\_\_

Name of Inventor \_\_\_\_\_  
(signature) MOEHLMANN, Torsten

Date November 5, 2001

Name of Inventor \_\_\_\_\_  
(signature) GRAEVE-KAMPFERKE, Karl-Heinz

Date \_\_\_\_\_

Name of Inventor \_\_\_\_\_  
(signature) DIADEN, Joachim

Date \_\_\_\_\_

Name of Inventor \_\_\_\_\_  
(signature)

Date \_\_\_\_\_

Name of Inventor \_\_\_\_\_  
(signature)

April 2000

Page 2 of 2